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FAX: 1 (703) 872-9306

DATE: 04-01-2005 TIME: 5:20 PM

☐ WITH CONFIRMATION
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RE: APPLICATION No. 10/616,147
FILED: 07-08-2003


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By: 
Jennie Henton

SUBMITTED HERewith FOR THE ABOVE-CAPTIONED APPLICATION:

- Transmittal Form
- Request to Enter and Consider IDS and Correct Filing Date (2 Pgs.)
- Information Disclosure Statement (2 Pgs.)
- Copy of IDS Form PTO/SB/08A Date Stamped 'SEP 20 2004'
- Copy of Transmittal Form Date Stamped 'SEP 20 2004'
- Copy of Return Receipt Postcard
- Patent Application Information Retrieval (PAIR) Database Information (2 Pgs.)

Respectfully submitted,


Andrew D. Fortney, Ph.D.; Reg. No. 34,600

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PTO/SD/21 (02-04)

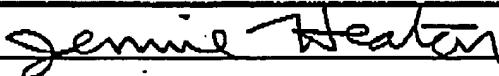
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/616,147
	Filing Date	07-08-2003
	First Named Inventor	Klaus KUNZE et al.
	Art Unit	1775
	Examiner Name	
Total Number of Pages in This Submission	11	Attorney Docket Number KOV-004

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): (See Remarks Below)
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	The Law Offices of Andrew D. Fortney, Ph.D., P.C.		
Signature			
Printed name	Andrew D. Fortney, Ph.D.		
Date	04-01-2005	Reg. No.	34,600

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Signature			
Typed or printed name	Jennie Henton	Date	04-01-2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 38 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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APR 01 2005

April 1, 2005

Application Number:	10/616,147
Filing Date:	July 8, 2003
First Named Inventor:	Klaus KUNZE et al.
Attorney Docket No.:	KOV-004
For: COMPOSITIONS AND METHODS FOR FORMING A SEMICONDUCTING AND/OR SILICON-CONTAINING FILM, AND STRUCTURES FORMED THEREFROM	

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By: Jennie K. Heaton
Jennie K. Heaton

REQUEST TO ENTER AND CONSIDER INFORMATION DISCLOSURE STATEMENT,
AND CORRECT FILING DATE OF SAME

Mail Stop AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
(703) 872-9306

To Whom it May Concern:

Applicant's undersigned representative respectfully requests that the U.S. Patent and Trademark Office (hereinafter, "PTO") enter the attached Information Disclosure Statement ("IDS") for the above-referenced Application. Applicant's undersigned representative further requests that the PTO update its records to reflect the fact that the attached IDS Form PTO/SB/08A was originally filed with the PTO on September 14, 2004.

Atty. Docket No. KOV-004
Serial No: 10/616,147

The attached IDS Form PTO/SB/08A bears a stamp of the Office of Initial Patent Examination ("OIPE") dated September 20, 2004; the Transmittal Form dated September 14, 2004 (date-stamped September 20, 2004 by OIPE) indicates that the IDS form was filed on that date; and the return receipt postcard date-stamped September 20, 2004 indicates that the IDS form was received on that date. However, the mailing which contained the original IDS form also contained matters for the Assignment Division, where the entire contents of the mailing were apparently forwarded. (See, for example, the enclosed printout from the PTO Patent Application Information Retrieval database for the present application, which does not indicate receipt of any IDS after November 18, 2003.) The Assignment Division subsequently returned the documents, including the IDS Form PTO/SB/08A, as non-recordable.

Applicant's undersigned representative respectfully requests that the PTO:

1. Enter and consider the information cited in the attached IDS under 37 C.F.R. § 1.97(b)(3), as no Office Action on the merits of the above-referenced application had been mailed as of the time of its original submission on September 20, 2004, or is believed to have been mailed at the time of this submission; and
2. Update its records to reflect the fact that the attached IDS Form PTO/SB/08A was originally submitted on September 14, 2004.

Further facts in support of this matter can be provided on request. Notice of receipt of the attached IDS, and of recordation of the original filing date, are respectfully requested.

Respectfully submitted,



Andrew D. Fortney, Ph.D.

Reg. No. 34,600

THE LAW OFFICES OF ANDREW D. FORTNEY, PH.D., P.C.

ADF:abp



PTO/SB/21 (02-04)
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	First Named Inventor	Klaus KUNZE et al.	
	Art Unit	1775	
	Examiner Name		
Total Number of Pages in This Submission	6	Attorney Docket Number	KOV-004

ENCLOSURES (Check all that apply)		
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Andrew D. Fortney, Ph.D., Reg. No. 34,600	
Signature	<i>Andrew D. Fortney</i>	
Date	09-14-2004	

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Typed or printed name	Jennie Heaton	
Signature	<i>Jennie Heaton</i>	Date 09-14-2004

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Atty Docket No. KOV-004

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